

1.52 or 1.53

1	PA	Application Number		09/940,921		
JAN 1 8 2002 FORM			Filing Date First Named Inventor		08/28/01 Friddle COPY OF TO	
·M	ed for all correspondence a					
	Total Number of Pages in This Su	ıbmission 16	Attorney Docket Num	ber	LEX-227-USA	
		ENCLOSU	RES (check all that a	oply)		
	Fee Transmittai Form		ent Papers oplication)		After Allowance Communication to Group	
	Fee Attached	Drawing(s	s)		Appeal Communication to Board of Appeals and Interferences	
]	Amendment / Reply	Licensing	-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
	After Final	Petition			Proprietary Information	
	Affidavits/declaration(s)		Convert to a al Application		Status Letter	
	Extension of Time Request		Attorney, Revocation f Correspondence Address	X	Other Enclosure(s) (please identify below):	
	Express Abandonment Request	Terminal	Disclaimer	return postcard		
	Information Discipsure Statement	Request f	or Refund	EXECUTED DECLARATION - FOUR SETS; EIGH PAGES VERIFIED STATEMENT AND SEQUENCE LISTIN		
	Certified Copy of Priority Document(s)	CD, Num	ber of CD(s)	ON DISK		
	Response to Missing Parts/ Incomplete Application	Remarks				
	Response to Missing Parts under 37 CFR					

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name	Lance K. Ishimoto Reg. No. 41,866 Lexicon Genetics Incorporated						
Signature	demack follower by know N. Hebler 222 no 11,071						
Date	December 18, 2001						

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: December 18, 2001							
Typed or printed name	Nancy Stacey						
Signature	Hany Hay	Date	December 18, 2001				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Friddle et. al.

Application No.: 09/940,921

Filed: 08/28/01

Group Art Unit: 1645

Title: Novel Human Kinase Proteins and Polynucleotides

Encoding the Same

Attorney Docket No.: LEX-0227-USA

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Commissioner for Patents Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on October 18, 2001, Applicants submit the following documents to complete the filing requirements of the above-identified application:

- 1. Executed Declaration and Power of Attorney;
- 2. Return copy of Notice to File Missing Parts of Application Filing Date Granted;
- Verified Statement; and
- 4. Sequence Listing on diskette.

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

1. 2.	Statutory basic filing fee Surcharge Fee for filing declaration on a date later than the filing date of the application per	\$370.00 \$65.00
3.	37 CFR 1.492(e) Four additional independent claims over initial three (3) claims	\$168.00

TOTAL

\$603.00

COPY OF PAPERS ORIGINALLY FILED

4. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,

DAVID W. HOLEK

December 18, 2001

Date

Lance K. Lolunisto by David W. Habe

Lance K. Ishimoto

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Enclosures

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#4

CONFIRMATION NO. 5147
FORMALITIES LETTER
OC0000000006932619

Date Mailed: 10/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/24/2002 4720/LU1 00000103 500898 | 68940821 | FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 580.
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600